

GUOJIN GONG

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ACADEMIC POSITIONS

University of Connecticut

Professor of Accounting (with tenure), 2023—Present

Deloitte Foundation Faculty Fellow, 2021—Present

Associate Professor of Accounting (with tenure), 2020—2022

Pennsylvania State University

Associate Professor of Accounting (with tenure), 2012—2019

Assistant Professor of Accounting, 2005—2011

Shanghai University of Finance and Economics (China)

Visiting Professor of Accounting, Spring 2015

Special-term Professor of Accounting, 2016—2018

National University of Singapore (Singapore)

Visiting Professor of Accounting, Fall 2014

Tsinghua University (China)

Visiting Professor of Accounting, Summer 2015

University of Iowa, Henry B. Tippie College of Business

Lecturer, 2001—2003

EDUCATION

Ph.D. in Accounting (Minor: Finance)

University of Iowa, Henry B. Tippie College of Business, 2005

M.A. in Economics

Washington University in St. Louis, 2000

B.A. in Economics

Peking University (China), School of Economics, 1997

RESEARCH

REFEREED PUBLICATIONS

- “Site visits and corporate investment efficiency” with Sean Cao, Hanzhong Shi, Yongtae Kim, and Angie Wang. *Management Science* 71: 1 (2025) p. 635-658.

- “The use of cash flows in setting CEO compensation and the design of loan contracts” with Biqin Xie and Daniel X. Jiang. *Contemporary Accounting Research* 41:4 (2024) p. 2384-2416.
- “Short interest and corporate investments: Evidence from business partners” with Xia Chen and Shuqing Luo. *Contemporary Accounting Research* 39:2 (2022) p. 1455-1508.
- “CEO employment contract horizon and financial reporting discretion” with Juan Wang and Hyun Jung Lee. *Journal of Management Accounting Research* 33:2 (2021) p.75-108.
- “Earnings forecasts and price efficiency after earnings realizations: reduction in information asymmetry through learning from price” with Hong Qu and Ian Tarrant. *Contemporary Accounting Research* 38:1 (2021) p. 654-675.
- “Relative performance evaluation and the timing of earnings release” with Laura Li and Huifang Yin. *Journal of Accounting and Economics* 67:2 (2019) p. 358-386.
- “Lenders’ experience with borrowers’ major customers and the debt contracting demand for accounting conservatism” with Shuqing Luo. *The Accounting Review* 93:5 (2018) p.187-222.
- “Inside debt and the design of corporate debt contracts” with Divya Anantharaman and Vivian Fang. *Management Science* 60:5 (2014) p.1260-1280.
 - Cited in The Wall Street Journal (“How to Fix Executive Compensation”, February 26, 2012)
 - Featured in Harvard Law School Forum on Corporate Governance (January 5, 2011)
 - Best Paper Award at the 2011 AAA Mid-Atlantic Region Meeting
- “Earnings non-synchronicity and voluntary disclosure” with Laura Li and Ling Zhou. *Contemporary Accounting Research* 30:4 (2013) p.1560-1589.
- “Home country investor protection, ownership structure and cross-listed firms’ compliance with SOX-mandated internal control deficiency disclosures” with Bin Ke and Yong Yu. *Contemporary Accounting Research* 30:4 (2013) p.1490-1523.
- “Relative performance evaluation and related peer groups in executive compensation contracts” with Laura Li and Jae Yong Shin. *The Accounting Review* 86:3 (2011) p.1007–1043.
 - Featured in Harvard Law School Forum on Corporate Governance (March 4, 2011)
 - Outstanding Paper Award at the 2010 AAA Management Accounting Section Midyear Meeting
- “Serial correlation in management earnings forecast errors” with Laura Li and Jeff Wang. *Journal of Accounting Research* 49:3 (2011) p.677-720.

- “The association between management earnings forecasts errors and accruals” with Laura Li and Hong Xie. *The Accounting Review* 84:2 (2009) p.497–530.
- “Corporate governance and backdating of executive stock options” with Daniel Collins and Haidan Li. *Contemporary Accounting Research* 26:2 (2009) p.403–445.
- “Earnings management, lawsuits, and stock-for-stock acquirers’ market performance” with Henock Louis and Amy Sun. *Journal of Accounting and Economics* 46:1 (2008) p.62–77.
 - Featured in Harvard Law School Forum on Corporate Governance (October 20, 2008)
- “Earnings management and firm performance following open-market repurchase” with Henock Louis and Amy Sun. *Journal of Finance* 63:2 (2008) p.947–986.
- “Investor sophistication and the mispricing of accruals” with Daniel Collins and Paul Hribar. *Review of Accounting Studies* 8:2-3 (2003) p.251–276.

WORKING PAPERS

- "Setting relative performance targets in executive compensation contracts" with Laura Li and Huifang Yin.
- “Management forecast policy and liquidity provision by individual investors: Evidence from fire sales by mutual funds” with Mei Feng, Yuan Xie, and Yue Zhang.
- “Lead arrangers’ dual holding and co-lead syndicate structure” with Shuqing Luo and Qiong Wu.
- “Common ownership and relative performance evaluation” with Sydney Shu and Lijun (Gillian) Lei.
- “SEC investigation and CEO incentive compensation” with Arienne Rhodes, Nina Xu, and Xiao Yu.

ACADEMIC CONFERENCES

- 2025 Fordham Accounting Research Symposium, *Journal of Accounting, Auditing, and Finance* Symposium (Discussant), Yale Accounting Conference
- 2024 International Conference of the *Journal of International Accounting Research* (hosted by National Taiwan University), Management Accounting Section Midyear Meeting
- 2023 *Review of Accounting Studies* Annual Conference (hosted by University of Cambridge), *Contemporary Accounting Research* Annual Conference, *Journal of Accounting, Auditing, and Finance* Symposium (hosted by Fordham University), Yale Accounting Conference, China International Conference in Finance (virtual)
- 2022 *Review of Accounting Studies* Annual Conference (hosted by Stanford University), *Contemporary Accounting Research* Annual Conference, Yale Accounting Conference,

- Chinese Accounting Professors' Association of North America (CAPANA) Annual Conference
- 2021 *Contemporary Accounting Research* Annual Conference, Yale Accounting Conference, Baruch/Fordham/Rutgers Virtual Accounting Conference, Chinese Accounting Professors' Association of North America Annual Conference
- 2020 Yale Accounting Conference, *Review of Accounting Studies* Annual Conference (hosted by Stanford University)
- 2018 Chinese Accounting Professors' Association of North America Annual Conference
- 2017 University of Alberta Accounting Research Conference, Chinese Accounting Professors' Association of North America Annual Conference, New Institutional Accounting Research Conference (hosted by Shanghai University of Finance and Economics, China)
- 2016 International Symposium on Accounting Research in China Annual Conference (hosted by Dongbei University of Finance and Economics, China), University of Iowa Sidney Winter Lecture Series, KPMG National Faculty Symposium
- 2015 Asian Bureau of Finance and Economic Research Annual Meeting, Singapore, *China Accounting and Finance Review* (CAFR) and *Journal of Accounting, Auditing and Finance* (JAAF) Joint Conference, Oversea Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University), Tsinghua University International Corporate Governance Conference, University of Alberta Sixth Accounting Research Conference
- 2014 CGIO Academic Conference on Business Groups (hosted by National University of Singapore), *Review of Accounting Studies* Annual Conference (hosted by University of British Columbia), SMU-SOAR Accounting Symposium (hosted by Singapore Management University)
- 2013 Washington University in St. Louis Nick Dopuch Accounting Research Conference, Hong Kong Polytechnic University Conference on Executive Compensation, George Washington University Accounting Research Conference
- 2012 Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2011 University of Iowa Sidney Winter Lecture Series
- 2010 Chinese Accounting Professors' Association of North America Annual Conference, University of Toronto Fourth Annual Accounting Research Conference
- 2009 *Contemporary Accounting Research* Annual Conference (Montreal, Canada), Chinese Accounting Professors' Association of North America Annual Conference, London Business School Accounting Symposium, *Review of Accounting Studies* Annual Conference (hosted by Tilburg University), University of Toronto Third Annual Accounting Research Conference, Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2008 AAA/Deloitte Foundation TrueBlood Seminar for Professors, Chinese Accounting Professors' Association of North America Annual Conference, PricewaterhouseCoopers University for Faculty
- 2007 *Contemporary Accounting Research* Annual Conference (Montreal, Canada), FASB Faculty Consortium, University of Delaware Symposium on Backdating Options
- 2006 American Accounting Association New Faculty Consortium, University of Iowa Sidney Winter Lecture Series
- 2005 Pennsylvania State University Accounting Research Conference

- 2003 *Journal of Accounting and Economics* Annual Conference (hosted by Northwestern University)
- 2002 American Accounting Association Doctoral Consortium (Tahoe City), *Review of Accounting Studies* Annual Conference (hosted by University of Michigan)
- 2001 Big10+ Doctoral Consortium (hosted by University of Michigan)

ACADEMIC PRESENTATIONS

- 2025 George Mason University (Scheduled)
- 2023 Shanghai University of Finance and Economics (China)
- 2022 Chinese University of Hong Kong, Beijing Institute of Technology (China)
- 2021 University of Minnesota, Shanghai University of Finance and Economics (China)
- 2020 University of Connecticut
- 2019 Baruch College, New York University, Beijing Institute of Technology (China), MIT Asian Conference in Accounting
- 2018 Santa Clara University
- 2017 Nanjing Audit University (China), American Accounting Association Annual Meeting
- 2016 Georgia State University, Ohio State University, Beijing Institute of Technology (China), Beijing Technology and Business University (China), University of Iowa Sidney Winter Lecture Series, Management Accounting Section Midyear Meeting (Discussant)
- 2015 HongKong Polytechnic University, Huazhong University of Science and Technology (China), Peking University (China), Tsinghua University (China), University of International Business and Economics (China), *China Accounting and Finance Review* (CAFR) and *Journal of Accounting, Auditing and Finance* (JAAF) Joint Conference (Discussant), Overseas Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University), Tsinghua University International Corporate Governance Conference, University of Alberta Accounting Research Conference
- 2014 University of Texas at Austin, National University of Singapore, Singapore Management University, SMU-SOAR Accounting Symposium (Discussant)
- 2013 Central University of Finance and Economics (China), Hong Kong University, Peking University (China), Tsinghua University (China), HongKong Polytechnic University Conference on Executive Compensation (Discussant), American Accounting Association Annual Meeting (Discussant)
- 2011 National University of Singapore, Binghamton University (SUNY), Syracuse University, University of Toronto, American Accounting Association Annual Meeting
- 2010 Michigan State University, Northwestern University, University of Southern California, University of Kentucky, Vanderbilt University, Financial Accounting and Reporting Section Midyear Meeting, American Accounting Association Annual Meeting
- 2009 London Business School Accounting Symposium (Discussant), Chinese Accounting Professors' Association of North America (CAPANA) Conference, American Accounting Association Annual Meeting
- 2008 Financial Economics and Accounting Annual Conference (hosted by University of Texas at Austin), American Accounting Association Annual Meeting, Financial Accounting and Reporting Section Midyear Meeting
- 2007 Financial Economics and Accounting Annual Conference (hosted by New York University), American Accounting Association Annual Meeting

- 2006 Pennsylvania State University Accounting Research Conference, American Accounting Association Annual Meeting.
- 2005 Drexel University, Emory University, Notre Dame University, Pennsylvania State University, University of California–Los Angeles, University of Connecticut, University of Illinois at Urbana-Champaign, University of Minnesota

TEACHING EXPERIENCE

University of Connecticut

ACCTG 3201 Intermediate Financial Accounting I, Undergraduate-Level, 2020-current

ACCTG 2001 Principles of Financial Accounting, Undergraduate-Level, 2020-current

Pennsylvania State University

ACCTG 471 Intermediate Financial Accounting, Undergraduate-Level, 2005–2020

ACCTG 597 Research Seminar, Doctoral-Level, 2019–2020

ACCTG 590 Research Methodology, Doctoral-Level, 2009–2010

National University of Singapore

BAA 6001 Empirical Research in Accounting I, Doctoral-Level, Fall 2014

University of Iowa

6A: 001 Introductory Financial Accounting, Undergraduate-Level, 2001–2004

SERVICES

EDITORIAL BOARDS

China Accounting and Finance Review, Associate Editor, 2015–2017, 2023–2025

Contemporary Accounting Research, Editorial Board Member, 2014–2020

Journal of Accounting, Auditing, and Finance, Editorial Board Member, 2022—present

AD HOC REFEREE

Abacus

Accounting Review

Accounting, Organizations and Society

Contemporary Accounting Research

Emerging Markets Finance and Trade

European Accounting Review

Financial Analysts Journal

Journal of Accounting, Auditing, and Finance

Journal of Accounting and Economics

Journal of Accounting and Public Policy

Journal of Accounting Research

Journal of Business Finance and Accounting

Journal of Empirical Finance

Journal of Empirical Legal Studies

Journal of Financial Research

Journal of Management Accounting Research

Management Science

Pacific-Basin Finance Journal

Review of Accounting Studies

Review of Financial Studies

Review of Finance

Research Grant Council (RGC) of Hong Kong Government

MIT-Asia Conferences in Accounting

Chinese Accounting Professors' Association of North America (CAPANA) Conferences

Conference on Financial Economics and Accounting (CFEA), Program Committee

AAA Annual Meetings

AAA Financial Accounting and Reporting Section (FARS) Midyear Meetings

AAA Management Accounting Section (MAS) Midyear Meetings

AAA Mid-Atlantic Region Meetings

EXTERNAL EVALUATOR

Tenure promotion packages, 2014 (1), 2015 (2), 2019 (1), 2021 (1), 2022 (1), 2024 (3), 2025 (1)

COMMITTEES

University of Connecticut

Business School Teaching & Research Awards Committee, 2024-current

Business & Society Committee (Chair), 2021-current

Department Promotion & Tenure Committee, 2020-current

Diversity Taskforce Committee, 2020-current

Faculty Recruiting Committee, 2020-current

In-Residence Faculty Reappointment & Promotion Committee (Chair), 2021-current

In-Residence Faculty Recruiting Committee, 2021

Ph.D. Admission Committee, 2020-current

PwC Challenge Case Competition, Faculty Mentor, 2021

University Representative in United Nations PRME Community, 2024

Ph.D. Advising

- Jingyu Xu, dissertation
- Vernan Rivera, second-year paper (Chair)
- Minah Lee, second-year paper (Chair)
- Xiao Yu, second-year paper (Chair), dissertation
- Yajie Xiao, first-year paper (Chair)

Pennsylvania State University

Business School Promotion & Tenure Committee, 2019

Accounting Research Conference Committee, 2019, 2014 (Chair)

Advisory Committee for Academic Databases and Computing, 2015-2020

Clinical Professor Searching Committee, 2015

Department Advisory Committee, 2007-08, 2010-11

Faculty Recruiting Committee, 2008-2020

Ph.D. Comprehensive Exam Committee (Chair), 2013

Ph.D. Admission Committee, 2005-06, 2009-2020

Research Colloquium Organizer, 2009-2011

Smeal Competitive Research Committee, 2012-2017

Undergraduate Curriculum Task Force (Chair), 2012

Undergraduate Curriculum Committee, 2007-2008, 2015 (Chair)

Undergraduate Policy Committee, 2006-2013

Ph.D. Dissertation Committees

- Yue Zhang, 2020 (initial placement: Baruch College)
- Di Guo, 2019 (initial placement: Hong Kong Baptist University)
- Ian Tarrant, 2017 (initial placement: University of Buffalo)
- Bjorn Berg, 2015 (Chair, initial placement: Loyola University)
- Kristy Schenck, 2012 (initial placement: Bucknell University)
- Yuan Wang, 2012 (Finance, initial placement: Concordia University)
- Maya Thevenot, 2007 (initial placement: SUNY, Fredonia)

American Accounting Association (AAA)

President, Chinese Accounting Professors' Association of North America (CAPANA), 2018-19

Vice President, Chinese Accounting Professors' Association of North America (CAPANA), 2017-18

FARS Midyear Meeting Research Program Committee, 2015

FARS Best Dissertation Award Committee, 2014

Annual Meeting Research Program Committee, 2012

PROFESSIONAL EXPERIENCE

Auditor, KPMG Huazhen CPA, Beijing, 1997–1998
