

GUOJIN GONG

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ACADEMIC POSITIONS

University of Connecticut

Professor of Accounting (with tenure), August 2023—Present

Associate Professor of Accounting (with tenure), August 2020—May 2023

Deloitte Foundation Faculty Fellow, August 2021—Present

Pennsylvania State University

Associate Professor of Accounting (with tenure), August 2012—May 2020

Assistant Professor of Accounting, August 2005—May 2012

Shanghai University of Finance and Economics (China)

Visiting Associate Professor of Accounting, March—May 2015

Special-term Professor of Accounting, 2016—2018

National University of Singapore (Singapore)

Visiting Associate Professor of Accounting, August 2014—February 2015

Tsinghua University (China)

Visiting Associate Professor of Accounting, June 2015

EDUCATION

Ph.D. in Accounting (Minor: Finance)

University of Iowa, Henry B. Tippie College of Business, 2005

M.A. in Economics

Washington University in St. Louis, 2000

B.A. in Economics

Peking University (China), School of Economics, 1997

RESEARCH

REFEREED PUBLICATIONS

- “Site visits and corporate investment efficiency” with Sean Cao, Hanzhong Shi, Yongtae Kim, and Angie Wang. *Management Science* forthcoming.
- “Short interest and corporate investments: Evidence from business partners” with Xia Chen and Shuqing Luo. *Contemporary Accounting Research* 39:2 (2022) p. 1455-1508.

- “CEO employment contract horizon and financial reporting discretion” with Juan Wang and Hyun Jung Lee. *Journal of Management Accounting Research* 33:2 (2021) p.75-108.
- “Earnings forecasts and price efficiency after earnings realizations: reduction in information asymmetry through learning from price” with Hong Qu and Ian Tarrant. *Contemporary Accounting Research* 38:1 (2021) p. 654-675.
- “Relative performance evaluation and the timing of earnings release” with Laura Li and Huifang Yin. *Journal of Accounting and Economics* 67:2 (2019) p. 358-386.
- “Lenders’ experience with borrowers’ major customers and the debt contracting demand for accounting conservatism” with Shuqing Luo. *The Accounting Review* 93:5 (2018) p.187-222.
- “Inside debt and the design of corporate debt contracts” with Divya Anantharaman and Vivian Fang. *Management Science* 60:5 (2014) p.1260-1280.
 - Best Paper Award at the 2011 AAA Mid-Atlantic Region Meeting
- “Earnings non-synchronicity and voluntary disclosure” with Laura Li and Ling Zhou. *Contemporary Accounting Research* 30:4 (2013) p.1560-1589.
- “Home country investor protection, ownership structure and cross-listed firms’ compliance with SOX-mandated internal control deficiency disclosures” with Bin Ke and Yong Yu. *Contemporary Accounting Research* 30:4 (2013) p.1490-1523.
- “Relative performance evaluation and related peer groups in executive compensation contracts” with Laura Li and Jae Yong Shin. *The Accounting Review* 86:3 (2011) p.1007–1043.
 - Outstanding Paper Award at the 2010 AAA Management Accounting Section Midyear Meeting
- “Serial correlation in management earnings forecast errors” with Laura Li and Jeff Wang. *Journal of Accounting Research* 49:3 (2011) p.677-720.
- “The association between management earnings forecasts errors and accruals” with Laura Li and Hong Xie. *The Accounting Review* 84:2 (2009) p.497–530.
- “Corporate governance and backdating of executive stock options” with Daniel Collins and Haidan Li. *Contemporary Accounting Research* 26:2 (2009) p.403–445.
- “Earnings management, lawsuits, and stock-for-stock acquirers’ market performance” with Henock Louis and Amy Sun. *Journal of Accounting and Economics* 46:1 (2008) p.62–77.
- “Earnings management and firm performance following open-market repurchase” with Henock Louis and Amy Sun. *Journal of Finance* 63:2 (2008) p.947–986.

- “Investor sophistication and the mispricing of accruals” with Daniel Collins and Paul Hribar. *Review of Accounting Studies* 8:2-3 (2003) p.251–276.

WORKING PAPERS

- “The use of cash flows in setting CEO compensation and the design of loan contracts” with Biqin Xie and Daniel X. Jiang.
- "Setting relative performance targets in executive compensation contracts" with Laura Li and Huifang Yin.
- “Lead arrangers’ dual holding and co-lead syndicate structure” with Shuqing Luo and Qiong Wu.
- “Voluntary disclosure and liquidity provision by individual investors: Evidence from fire sales by mutual funds” With Mei Feng, Yuan Xie, and Yue Zhang.

ACADEMIC PRESENTATIONS

- 2022 Chinese University of Hong Kong, Beijing Institute of Technology (China)
- 2021 University of Minnesota, Shanghai University of Finance and Economics (China)
- 2020 University of Connecticut
- 2019 Baruch College, New York University, Beijing Institute of Technology (China), MIT Asian Conference in Accounting
- 2018 Santa Clara University
- 2017 Nanjing Audit University (China), American Accounting Association Annual Meeting
- 2016 Georgia State University, Ohio State University, Beijing Institute of Technology (China), Beijing Technology and Business University (China), University of Iowa Sidney Winter Lecture Series, Management Accounting Section Midyear Meeting (Discussant)
- 2015 HongKong Polytechnic University, Huazhong University of Science and Technology (China), Peking University (China), Tsinghua University (China), University of International Business and Economics (China), *China Accounting and Finance Review* (CAFR) and *Journal of Accounting, Auditing and Finance* (JAAF) Joint Conference (Discussant), Overseas Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University), Tsinghua University International Corporate Governance Conference, University of Alberta Accounting Research Conference
- 2014 University of Texas at Austin, National University of Singapore, Singapore Management University, SMU-SOAR Accounting Symposium (Discussant)
- 2013 Central University of Finance and Economics (China), Hong Kong University, Peking University (China), Tsinghua University (China), HongKong Polytechnic University Conference on Executive Compensation (Discussant), American Accounting Association Annual Meeting (Discussant)
- 2011 National University of Singapore, Binghamton University (SUNY), Syracuse University, University of Toronto, American Accounting Association Annual Meeting

- 2010 Michigan State University, Northwestern University, University of Southern California, University of Kentucky, Vanderbilt University, Financial Accounting and Reporting Section Midyear Meeting, American Accounting Association Annual Meeting
- 2009 London Business School Accounting Symposium (Discussant), Chinese Accounting Professors' Association of North America (CAPANA) Conference, American Accounting Association Annual Meeting
- 2008 Financial Economics and Accounting Annual Conference (hosted by University of Texas at Austin), American Accounting Association Annual Meeting, Financial Accounting and Reporting Section Midyear Meeting
- 2007 Financial Economics and Accounting Annual Conference (hosted by New York University), American Accounting Association Annual Meeting
- 2006 Pennsylvania State University Accounting Research Conference, American Accounting Association Annual Meeting.
- 2005 Drexel University, Emory University, Notre Dame University, Pennsylvania State University, University of California–Los Angeles, University of Connecticut, University of Illinois at Urbana-Champaign, University of Minnesota

CONFERENCE PARTICIPATION (INVITED ONLY)

- 2023 *Contemporary Accounting Research* Annual Conference, Yale Summer Accounting Conference, China International Conference in Finance
- 2022 *Review of Accounting Studies* Annual Conference (hosted by Stanford University), *Contemporary Accounting Research* Annual Conference, Yale Summer Accounting Conference, Chinese Accounting Professors' Association of North America Annual Conference
- 2021 *Contemporary Accounting Research* Annual Conference, Yale Summer Accounting Conference, Baruch/Fordham/Rutgers Virtual Accounting Conference, Chinese Accounting Professors' Association of North America Annual Conference
- 2020 Yale Summer Accounting Conference, *Review of Accounting Studies* Annual Conference (hosted by Stanford University)
- 2018 Chinese Accounting Professors' Association of North America Annual Conference
- 2017 University of Alberta Accounting Research Conference, Chinese Accounting Professors' Association of North America Annual Conference, New Institutional Accounting Research Conference (hosted by Shanghai University of Finance and Economics, China)
- 2016 International Symposium on Accounting Research in China Annual Conference (hosted by Dongbei University of Finance and Economics, China), University of Iowa Sidney Winter Lecture Series, KPMG National Faculty Symposium
- 2015 Asian Bureau of Finance and Economic Research Annual Meeting, Singapore, *China Accounting and Finance Review* (CAFR) and *Journal of Accounting, Auditing and Finance* (JAAF) Joint Conference, Oversea Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University), Tsinghua University International Corporate Governance Conference, University of Alberta Sixth Accounting Research Conference
- 2014 CGIO Academic Conference on Business Groups (hosted by National University of Singapore), *Review of Accounting Studies* Annual Conference (hosted by University of British Columbia), SMU-SOAR Accounting Symposium (hosted by Singapore Management University)

- 2013 Washington University in St. Louis Nick Dopuch Accounting Research Conference, Hong Kong Polytechnic University Conference on Executive Compensation, George Washington University Accounting Research Conference
- 2012 Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2011 University of Iowa Sidney Winter Lecture Series
- 2010 Chinese Accounting Professors' Association of North America Annual Conference, University of Toronto Fourth Annual Accounting Research Conference
- 2009 *Contemporary Accounting Research* Annual Conference (Montreal, Canada), Chinese Accounting Professors' Association of North America Annual Conference, London Business School Accounting Symposium, *Review of Accounting Studies* Annual Conference (hosted by Tilburg University), University of Toronto Third Annual Accounting Research Conference, Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2008 AAA/Deloitte Foundation TrueBlood Seminar for Professors, Chinese Accounting Professors' Association of North America Annual Conference, PricewaterhouseCoopers University for Faculty
- 2007 *Contemporary Accounting Research* Annual Conference (Montreal, Canada), FASB Faculty Consortium, University of Delaware Symposium on Backdating Options
- 2006 American Accounting Association New Faculty Consortium, University of Iowa Sidney Winter Lecture Series
- 2005 Pennsylvania State University Accounting Research Conference
- 2003 *Journal of Accounting and Economics* Annual Conference (hosted by Northwestern University)
- 2002 American Accounting Association Doctoral Consortium (Tahoe City), *Review of Accounting Studies* Annual Conference (hosted by University of Michigan)
- 2001 Big10+ Doctoral Consortium (hosted by University of Michigan)

TEACHING EXPERIENCE

University of Connecticut

ACCTG 3201 Intermediate Financial Accounting I, Undergraduate-Level, 2020-current
ACCTG 2001 Principles of Financial Accounting, Undergraduate-Level, 2020-current

Pennsylvania State University

ACCTG 471 Intermediate Financial Accounting, Undergraduate-Level, 2005–2020
ACCTG 597 Research Seminar, Doctoral-Level, 2019–2020
ACCTG 590 Research Methodology, Doctoral-Level, 2009–2010

National University of Singapore

BAA 6001 Empirical Research in Accounting I, Doctoral-Level, Fall 2014

University of Iowa

6A: 001 Introductory Financial Accounting, Undergraduate-Level, 2001–2004

SERVICES

EDITORIAL BOARDS

China Accounting and Finance Review, Associate Editor, 2015–2017, 2023—2025
Contemporary Accounting Research, Editorial Board Member, 2014–2020
Journal of Accounting, Auditing, and Finance, Editorial Board Member, 2022—present

AD HOC REFEREE

Abacus
Accounting Review
Accounting, Organizations and Society
Contemporary Accounting Research
Emerging Markets Finance and Trade
European Accounting Review
Financial Analysts Journal
Journal of Accounting, Auditing, and Finance
Journal of Accounting and Economics
Journal of Accounting and Public Policy
Journal of Accounting Research
Journal of Business Finance & Accounting
Journal of Empirical Finance
Journal of Empirical Legal Studies
Journal of Financial Research
Journal of Management Accounting Research
Management Science
Pacific-Basin Finance Journal
Review of Accounting Studies
Review of Financial Studies
Review of Finance
AAA Annual Meetings
AAA Financial Accounting and Reporting Section (FARS) Midyear Meetings
AAA Mid-Atlantic Region Meetings
MIT Asia Conferences in Accounting
Chinese Accounting Professors' Association of North America (CAPANA) Conferences
Research Grant Council (RGC) of Hong Kong Government

COMMITTEES

American Accounting Association (AAA)
President, Chinese Accounting Professors' Association of North America (CAPANA), 2018-19
Vice President, Chinese Accounting Professors' Association of North America (CAPANA), 2017-18
FARS Midyear Meeting Research Program Committee, 2015
FARS Best Dissertation Award Committee, 2014
Annual Meeting Research Program Committee, 2012

University of Connecticut
Business School Business and Society Committee (Chair), 2021-current
In-Residence Faculty Reappointment & Promotion Committee (Chair), 2021-current

Department In-Residence Faculty Recruiting Committee, 2021
Business School PwC Challenge Case Competition, Faculty Mentor, 2021
Department Promotion & Tenure Committee, 2020-current
Department Faculty Recruiting Committee, 2020-current
Ph.D. Admission Committee, 2020-current
Business School Diversity Committee, 2020
Ph.D. Advising

- Jingyu Xu, dissertation committee
- Vernan Rivera, second-year paper committee (Chair)

Pennsylvania State University

Business School Promotion & Tenure Committee, 2019
Accounting Research Conference Committee, 2014 (Chair), 2019
Advisory Committee for Academic Databases and Computing, 2015-2020
Clinical Professor Searching Committee, 2015
Department Advisory Committee, 2007-08, 2010-11
Faculty Recruiting Committee, 2008-2020
Ph.D. Comprehensive Exam Committee (Chair), 2013
Ph.D. Admission Committee, 2005-06, 2009-2020
Research Colloquium Organizer, 2009-2011
Smeal Competitive Research Committee, 2012-2017
Undergraduate Curriculum Task Force (Chair), 2012
Undergraduate Curriculum Committee, 2007-2008, 2015 (Chair)
Undergraduate Policy Committee, 2006-2013
Ph.D. Dissertation Committees

- Yue Zhang, 2020 (initial placement: Baruch College)
- Di Guo, 2019 (initial placement: Hong Kong Baptist University)
- Ian Tarrant, 2017 (initial placement: University of Buffalo)
- Bjorn Berg, 2015 (Chair, initial placement: Loyola University)
- Kristy Schenck, 2012 (initial placement: Bucknell University)
- Yuan Wang, 2012 (Finance, initial placement: Concordia University)
- Maya Thevenot, 2007 (initial placement: SUNY, Fredonia)

EXTERNAL EVALUATOR

Tenure promotion packages, 2014 (1), 2015 (2), 2019 (1), 2021 (1), 2022 (1)

WORK EXPERIENCE

Auditor, KPMG Huazhen CPA, Beijing, 1997–1998
