

Anh Tran

CONTACT	School of Business University of Connecticut One University Place Stamford, CT 06901	Phone : (203) 251-8469 Email : anh.2.tran@uconn.edu
ACADEMIC EMPLOYMENT	Assistant Professor, Finance Department, University of Connecticut	2018-present
EDUCATION	Ph.D. in Finance (minor in Statistics), University of Rochester M.S.B.A. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City University of Technology	2018 2015 2011 2006
CERTIFICATION	Passed Level II, CFA Institute	
INTERESTS	Asset Pricing, Mutual Funds, Financial Econometrics, Corporate Governance.	
PUBLICATIONS	1. "Barking Up The Wrong Tree: Return Chasing in Mutual Funds," (with Pingle Wang). - Journal of Financial Economics , 2023, 148 (1), 69–90.	
WORKING PAPERS	1. "The Role of Financial Markets in Mitigating Credit Market Bubbles," (with E. Asparouhova, P. Bossaerts, and D. Lu). - Under revision for resubmission to Journal of Financial Economics 2. "Mutual Fund Governance: Does A Long-term Relationship with Managers Blind Directors?" 3. "Unveiling Mutual Funds' Securities Lending Strategies: Value versus Volume," (with Shuaiyu Chen and Pingle Wang). 4. "SEC Monitoring and Investigations: Signaling from Short-selling," (with Silver Chung).	
WORK IN PROGRESS	1. "Optimal Long-Term Contract When the Project's Profitability is Unknown," (with Thao Vuong).	
TEACHING EXPERIENCE	University of Connecticut <i>Instructor:</i> - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis (<i>MBA</i>) University of Rochester <i>Instructor:</i> Mathematical Techniques in Economics (<i>PhD</i>) <i>Lab instructor:</i> Data Analytics (<i>MBA</i>)	2018-present 2019-present 2020 2015 2017
DISCUSSANT	Eastern Finance Association Annual Meeting	2024
INVITED SPEAKER	5 th World Conference on Business, Management, Finance, Economics and Marketing	2024

PRESENTATIONS (Includes coauthor presentations)	Eastern Finance Association Annual Meeting	2024
	5 th World Conference on Business, Management, Finance, Economics and Marketing	2024
	Toulouse School of Economics	2023
	Financial Management Association Annual Meeting	2023
	Toulouse Business School	2022
	5 th Bank of Canada FSRC Macro-Finance Conference	2022
	University of Dauphine	2021
	Midwest Finance Association Annual Meeting	2020
	Financial Management Association Annual Meeting	2020
	Financial Management Association Annual Meeting	2018
	University of Connecticut	2018
	Chinese University of Hong Kong	2018
	PROFESSIONAL SERVICE	Referee
Quarterly Journal of Finance, National Science Foundation		
Conference Program Committee		
University of Connecticut Finance Academic Conference		2021-present
- Session chair		2023 - 2024
Conference Organizing Committee		
The 8 th Vietnam International Conference in Finance		2024
UNIVERSITY AND DEPARTMENT SERVICE	Profession	
	Vice President - Vietnam Finance Association International (VFAI)	2023-present
	Advising	
	UConn team participating in statewide CFA Research Challenge	2018-present
UNIVERSITY AND DEPARTMENT SERVICE	Undergraduate Student Advising	2019-present
	College service	
	Principle contact for the CFA University Affiliation Program	2022-present
AWARDS	Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA	2023
	Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting	2018
	American Finance Association Travel Grant	2016
	Simon Business School Doctoral Fellowship	2012-2017
PROFESSIONAL EMPLOYMENT	Investment Analyst Intern, Beneficial Financial Group	2011
	Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd	2008
	Leader of Purchasing Department, Vietnam Suzuki Corporation	2006-2008
SKILLS	Python, R, Matlab, Stata, SAS, JMP, web scraping.	