

## Ying Zhou

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### ACADEMIC APPOINTMENTS

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University of Connecticut – School of Business	Storrs, CT
Associate Professor	2023 – Present
Dean’s Ackerman Scholar	2022 – Present
Assistant Professor ( <i>Maternity/FMLA leaves: 2016, 2018</i> )	2014 – 2023

### EDUCATION

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Ph.D. in Accounting, University of Florida	2014
<i>Dissertation Committee:</i> Marcus Kirk, Paul Madsen, Jay Ritter, Jenny Tucker (Chair)	
M.S. in Management, M.S. in ECE, University of Florida	2009
B.S. in Electrical and Computer Engineering, Fudan University	2007

### RESEARCH (\* Presentation by co-author)

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#### Research Interests

Financial misreporting, insider trading, the role of gatekeepers in capital markets

#### Publications

“Securitization and Insider Trading” with Stephen Ryan and Jenny Tucker, 2016. *The Accounting Review* 91 (2): 649-675.

- Cited in the **Securities and Exchange Commission (SEC)**’s proposed rule regarding insider trading “[Rule 10b5-1 and Insider Trading](#)” *SEC Release No. 33-11013; 34-93782*

“Proprietary Costs and Corporate Lobbying against Changes in Mandatory Disclosure” sole-authored. 2022. *Management Science* 68 (11): 8483-8505.

- Featured in [Columbia Law School’s Blue Sky Blog](#) (January 2022); [UConn Today](#) (March 2018)

“Fool Me Once, Shame on You; Fool Me Twice, Shame on Me: The Long-Term Impact of Arthur Andersen’s Demise on Partners’ Audit Quality” with Feng Guo, Ling Lisic, Jeffrey Pittman, Tim Seidel, and Mi Zhou. 2022. *Contemporary Accounting Research* 39 (3): 1986-2022.

- Featured in [BYU Marriott News](#) (March 2022); [Newsletter of the Andersen Alumni Association](#) (April 2022); [Wall Street Journal](#) (August 2022)

“Inexperienced Component Auditors and the Internal Information Asymmetry of Multinational Corporations” with Tom Adams. *Journal of Accounting, Auditing & Finance*, forthcoming. Available at <https://doi.org/10.1177/0148558X221116537>

“Selection Bias in Audit Firm Tenure Research” with Dave Weber and Ce Wen. *Review of Accounting Studies*, forthcoming. Available at <https://doi.org/10.1007/s11142-023-09787-4>

## Working Papers

“Incremental Usefulness of Banks’ Parent-only Balance Sheet to Debt Holders” with Jenny Tucker and Jigao Zhu.

- Invited presentations: New York University\*, Remin University\*, Pennsylvania State University\*, University of California Davis\*, University of Connecticut, 2020 FARS Midyear Meeting, 2020 AAA Annual Meeting, Iowa State University

“The Effect of Audit Market Characteristics on Auditors’ Reputational Damage Following Negative PCAOB Inspection Reports” with Brant Christensen, Feng Guo, and Mike Wilkins.

- Invited presentations: University of Connecticut, 2021 FARS Midyear Meeting, 2023 Auditing Midyear Meeting

“Disclosure Regulation and the Competition between Public and Private Firms: The Case of Segment Reporting” sole-authored.

- Invited presentations: Georgia State University, Drexel University, Baruch College, University of Connecticut, Singapore Management University, National University of Singapore, Nanyang Technological University

“Lenders’ Financial Information Demand for Parent Borrowers” with Jenny Tucker and Mark Zakota.

- Invited presentations: Michigan State University\*, University of Alberta\*, University of Illinois Chicago, University of Florida\*, University of Pittsburgh\*

## PRESENTATIONS

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### Conference Presentations

- 2023 AAA Auditing Midyear Meeting, Austin, TX (discussant & presenter)
- 2022 AAA Annual Meeting, San Diego, CA (discussant)
- 2021 AAA FARS Midyear Meeting
- 2020 AAA Annual Meeting
- 2020 AAA FARS Midyear Meeting, Nashville, TN
- 2018 Temple Accounting 100<sup>th</sup> Anniversary Conference
- 2018 AAA Annual Meeting, Washington, DC
- 2018 AAA Annual Meeting, Washington, DC (discussant)
- 2018 2018 Deloitte/University of Kansas Auditing Symposium
- 2018 AAA FARS Midyear Meeting, Austin, TX

2016 AAA Annual Meeting, New York  
2016 AAA Annual Meeting, New York (discussant)  
2012 AAA Annual Meeting, Washington, DC  
2011 AAA Annual Meeting, Denver, CO

### **Workshop Presentations**

2021 University of Illinois Chicago  
2020 Iowa State University  
2018 University of Miami  
2014 Georgia State University  
2014 Drexel University  
2014 Baruch College  
2014 University of Connecticut  
2014 Singapore Management University  
2014 National University of Singapore  
2014 Nanyang Technological University

### **INVITED CONFERENCE ATTENDANCE**

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2022 *Review of Accounting Studies* Conference  
2022 *Contemporary Accounting Research* Conference  
2022 Yale Fall Conference  
2022 CAPANA Research Conference  
2022 AAA FARS Mid-Year Meeting  
2021 *Contemporary Accounting Research* Conference  
2021 CAPANA Research Conference  
2020 PCAOB Conference on Auditing and Capital Markets  
2019 Yale Fall Conference  
2018 AAA Northeast Region Meeting  
2016 Yale Summer Conference  
2015 Yale Fall Conference  
2015 AAA New Faculty Consortium, Leesburg, VA  
2014 AAA Annual Meeting, Atlanta, GA  
2014 AAA FARS Mid-Year Meeting, Houston, TX  
2011 AAA/Deloitte/J. Michael Cook Doctoral Consortium, Tahoe, CA  
2011 AAA FARS Midyear Meeting, Tampa, FL  
2011 Florida PhD Alumni Research Conference  
2010 AAA FARS Midyear Meeting, San Diego, CA

### **TEACHING**

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Cost Accounting (University of Connecticut, 2015-present)  
Financial Accounting and Reporting II (University of Florida, Fall 2013)

## **HONORS AND AWARDS**

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Ackerman Scholar Award, UConn School of Business, 2022-present  
AAA FARS Excellence in Reviewing Award, 2018  
Best Paper Award Honorable Mention, UConn School of Business, 2016  
Alumni Fellowship, University of Florida, 2009 – 2014  
AAA/Deloitte/J. Michael Cook Doctoral Consortium Fellow, 2011  
Outstanding Achievement, University of Florida, 2007  
Dow Scholarship, Fudan University, 2006

## **SERVICE**

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### **University, School, and Department Service**

School of Business Freshman/Sophomore Experience Committee, 2019-present  
Accounting Department Workshop Coordinator, 2019-2021  
Accounting Department Undergraduate Student Honors Thesis Supervisor, 2020  
Accounting Department Tenure-Track Faculty Recruiting Committee, 2016-2017  
Accounting Department PhD Program Committee, 2015-2016  
Faculty Mentor for the PriceWaterhouseCoopers Case Competition, 2014 and 2017  
- team named as a PwC Challenge National Semi Finalist (2014)

### **Ad-Hoc Reviewer**

*The Accounting Review*  
*Contemporary Accounting Research*  
*Auditing: A Journal of Practice & Theory*  
*Journal of Accounting Literature*  
*Advance in Accounting*  
Hawaii Accounting Research Conference  
AAA Annual Meeting, AAA Auditing Midyear Meeting, AAA FARS Midyear Meeting

## **PROFESSIONAL MEMBERSHIP**

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American Accounting Association, 2010-present